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ORIGINAL RESEARCH

Heart Failure Impairs Muscle Blood Flow and Endurance Exercise Tolerance in COPD

Mayron F. Oliveira^a, Flavio F. Arbex^a, Maria Clara Alencar^a, Aline Souza^a, Priscila A. Sperandio^a, Wladimir M. Medeiros^a, Adriana Mazzuco^b, Audrey Borghi-Silva^b, Luiz A. Medina^a, Rita Santos^a, Daniel M. Hirai^{a,c}, Frederico Mancuso^d, Dirceu Almeida^d, Denis E. O'Donnell^e, and J. Alberto Neder^{a,c}

^aPulmonary Function and Clinical Exercise Physiology Unit (SEFICE), Respiratory Division, Federal University of São Paulo (UNIFESP), São Paulo, Brazil; ^bDepartment of Physiotherapy, Federal University of São Carlos (UFSCAR), São Carlos, Brazil; ^cLaboratory of Clinical Exercise Physiology (LACEP), Division of Respiratory and Critical Care Medicine, Department of Medicine, Queen's University, Kingston, Canada; ^dCardiology Division, Federal University of São Paulo (UNIFESP), São Paulo, Brazil; ^eRespiratory Investigation Unit (RIU), Division of Respiratory and Critical Care Medicine, Department of Medicine, Queen's University, Kingston, Canada

ABSTRACT

Heart failure, a prevalent and disabling co-morbidity of COPD, may impair cardiac output and muscle blood flow thereby contributing to exercise intolerance. To investigate the role of impaired central and peripheral hemodynamics in limiting exercise tolerance in COPD-heart failure overlap, cycle ergometer exercise tests at 20% and 80% peak work rate were performed by overlap (FEV₁ = 56.9 \pm 15.9% predicted, ejection fraction =32.5 \pm 6.9%; N = 16), FEV,-matched COPD (N = 16), ejection fraction-matched heart failure patients (N = 15) and controls (N = 12). Differences (Δ) in cardiac output (impedance cardiography) and vastus lateralis blood flow (indocyanine green) and deoxygenation (near-infrared spectroscopy) between work rates were expressed relative to concurrent changes in muscle metabolic demands (ΔO_2 uptake). Overlap patients had approximately 30% lower endurance exercise tolerance than COPD and heart failure (p < 0.05). Δ Blood flow was closely proportional to Δ cardiac output in all groups (r = 0.89–0.98; p < 0.01). Overlap showed the largest impairments in Δ cardiac output/ Δ O₂ uptake and Δ blood flow/ Δ O₂ uptake (p < 0.05). Systemic arterial oxygenation, however, was preserved in overlap compared to COPD. Blunted limb perfusion was related to greater muscle deoxygenation and lactate concentration in overlap (r = 0.78 and r = 0.73, respectively; p < 0.05). $\Delta Blood$ flow/ ΔO_2 uptake was related to time to exercise intolerance only in overlap and heart failure (p < 0.01). In conclusion, COPD and heart failure add to decrease exercising cardiac output and skeletal muscle perfusion to a greater extent than that expected by heart failure alone. Treatment strategies that increase muscle O2 delivery and/or decrease O2 demand may be particularly helpful to improve exercise tolerance in COPD patients presenting heart failure as co-morbidity.

Introduction

Exercise intolerance is a cardinal feature of chronic obstructive pulmonary disease (COPD) (1). The underlying mechanisms, however, vary in patients showing similar resting ventilatory impairments (2). There is also growing recognition that the phenotypic exteriorization of COPD and its pathophysiological consequences are influenced by co-morbidities (1). Cardiocirculatory diseases, in particular, are highly prevalent in COPD and impact strongly on patients' disability and quality of life (3– 5). Understanding how COPD and coexistent cardiocirculatory disease may interact to further compromise exercise tolerance remains an unmet clinical need in the care of these patients (6,7).

In this context, heart failure with reduced left ventricular ejection fraction overlaps with COPD in nearly 1 in 3 elderly patients (8). Blood flow and, consequently, oxygen (O_2) delivery to working skeletal muscles may be impaired during exercise in both COPD (9–11) and heart failure (12,13). In COPD, peripheral muscle blood flow might be reduced due to the central hemodynamic consequences of acute-on-chronic lung hyperinflation (14–16) and/or cardiac output redistribution towards the

overloaded respiratory muscles (17,18). In heart failure, low cardiac output, global sympathetically mediated vasoconstriction and microvascular abnormalities characteristically compromise appendicular muscle blood flow (as reviewed in ref. (13)). It is thus conceivable that when COPD and heart failure overlap the aforementioned negative cardiopulmonary interactions and resulting deficits in muscle perfusion may be potentiated. This could lead to further decrements in muscle oxygenation and exercise tolerance. Despite these considerations, no previous study has investigated these important outcomes in patients with both COPD and heart failure (thereafter named as "overlap" group).

The current prospective study evaluated the role of central (cardiac output) and peripheral (leg muscle blood flow and deoxygenation) impairments in the O_2 transport pathway in limiting exercise tolerance in patients with overlapping COPD-heart failure. To investigate potential additive effects of COPD and heart failure on those outcomes, overlap patients were matched carefully to COPD patients showing similar resting ventilatory impairment (i.e., post-bronchodilator FEV₁) and

CONTACT J. Alberto Neder Regentation and Critical Care Medicine, Queen's University and Kingston General Hospital, Richardson House, 102 Stuart Street, Kingston, ON K7L 2V6, Canada.

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blood flow; chronic heart failure; exertion; microcirculation; skeletal muscle heart failure patients showing similar left ventricular ejection fraction. We hypothesized that exercising limb muscle blood flow would be impaired at greater extent in overlap leading to elevated fractional O₂ extraction (i.e., \uparrow de-oxyhemoglobin concentration by near-infrared spectroscopy) (9,19), increased reliance on nonoxidative metabolism (i.e., as reflected by \uparrow blood lactate concentration) and poorer exercise tolerance compared to isolated diseases. Confirmation of the study hypotheses would provide a strong rationale for novel treatment strategies that increase muscle O₂ delivery and/or decrease O₂ demand in COPD-heart failure overlap.

Methods

Subjects

Sixteen sedentary males with moderate-to-severe, smokingrelated COPD and echocardiographic evidences of heart failure with reduced left ventricular ejection fraction (< 40%) comprised the COPD-heart failure group. Patients were seen prospectively by the same respirologists and cardiologists. Echocardiograms were performed before study entry (unless performed in the previous 6 months) and read by the same trained echocardiographists. Disease treatment was decided by consensus and optimized carefully before study entry (Table 1). Sixteen age- and gender-matched COPD patients with no clinical or echocardiographic evidence of heart failure and 15 patients with established heart failure but no airflow obstruction (FEV₁/forced vital capacity (FVC) ratio >0.70) were also enrolled. Patients with COPD or heart failure were selected to match the overlap group by postbronchodilator FEV1 and left ventricular ejection fraction, respectively. All participants were required to report chronic dyspnea (modified (1-5) Medical Research Council (MRC) scale score 2-4 and intolerance to physical exertion according to the New York Heart Association (NYHA) scheme (class 2 or 3).

Main exclusion criteria were: long-term O_2 therapy, recent (within a year) rehabilitation, osteomuscular limitation to cycling, type I or non-controlled type II diabetes mellitus and peripheral arterial disease associated with claudication. Heart failure with preserved ejection fraction (diastolic heart failure) or heart failure due to valvar disease were also exclusion factors. Twelve sedentary males free of major cardio-respiratory and metabolic diseases (controls) were recruited by advertisement. All subjects gave written informed consent and the study was approved by the Medical Ethics committee of the Sao Paulo Hospital, Sao Paulo, Brazil and Kingston General Hospital, Kingston, ON, Canada.

Measurements

Lung function

Spirometry, lung diffusing capacity, and static lung volumes were evaluated (1085 ELITE DTM, Medical Graphics) and test results were compared with predicted values from Quanjer et al. (20). Resting blood gases were obtained from samples from the radial artery.

Cardiopulmonary exercise testing

Patients performed a two-stage cycle ergometer test starting at 20% (for 4 min) and, after a 4-min resting period, at 80% (to the limit of tolerance; Tlim, s) of the maximal power achieved on an incremental test performed on a previous day (10 W/min ramp protocol for patients and 15 W/min for controls). Recovery after the 20% bout lasted 4 min in order to allow ventilatory and pulmonary gas exchange responses to reach stability before the 80% trial. O_2 uptake (L/min), carbon dioxide (CO_2) output (L/min), respiratory exchange ratio, minute ventilation (L/min) and end-tidal partial pressure for CO₂ (mmHg) were obtained breath-by-breath and averaged into 20 s bins (CardiO2 System, Medical Graphics). Arterial oxygen saturation was measured non-invasively by pulse oximetry (SpO₂,%; Nonin 7500, Nonin). Breathlessness and leg effort were rated every minute according to the 10-point Borg category-ratio scale modified by Burdon et al. (21). Ventilation was expressed relative to estimated maximal voluntary ventilation (MVV $(L/min) = FEV_1$ \times 35). Heart rate (HR, bpm) was determined automatically using the RR interval from a 12-lead electrocardiogram. Blood gases and lactate concentration were measured from arterialized (art) earlobe capillary samples near the end of each exercise bout.

Cardiac output and muscle blood flow and deoxygenation

Cardiac output (L/min) was assessed continuously by a calibrated signal-morphology impedance cardiography system (Physioflow PF-5TM, Manatec). Principles of operation and algorithms have been described in detail elsewhere (22). Nearinfrared spectroscopy (NIRS; NIRO 200TM Hamamatsu Photonics KK) was used to evaluate skeletal muscle blood flow and deoxygenation. The optode was placed laterally over the mid-third of the right vastus lateralis. As described in detail by our group (9) and others (19), deoxy-hemoglobin (HHb,%) was selected as a proxy for muscle fractional O2 extraction and expressed relative to maximum values elicited by cuff-induced ischemia (23). The NIRS system measured indocyanine green (ICG) concentration following a bolus injection of 5 mg of ICG into a forearm vein. ICG injections were performed during the third minute of each exercise stage. As described previously (23,24), a muscle blood flow index was calculated as the maximal change in ICG divided by its rise time. Differences (Δ) in cardiac output and *vastus lateralis* blood flow and deoxygenation between 20 and 80% peak work rate were expressed relative to changes in metabolic demands, i.e., ΔO_2 uptake.

Statistical analysis

Results are reported as means \pm SD (including in graphs), unless stated otherwise. Statistical significance was set at p < 0.05. Oneway analysis of variance (ANOVA) with Bonferroni's post-hoc test (for symmetrically distributed data) or the Kruskal–Wallis H-test (for asymmetrically distributed data) was performed to evaluate between-group differences. Pearson's correlation coefficient was used to test the strength of association between variables.

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	Controls (n $=$ 12)	COPD (n $= 16$)	Heart failure (n $=$ 15)	Overlap (n = 16)
Demographic/anthropometric				
Age, yrs	68.7 ± 8.0 ^a	69.3 ± 7.4^{a}	67.5 ± 9.2 a	70.9 ± 7.6^{a}
Height, cm	171.2 ± 5.4^{a}	$169.8\pm9.9^{\text{a}}$	170.9 ± 6.2^{a}	168.6 ± 8.3^{a}
Weight, kg	79.8 ± 13.7^{a}	78.3 ± 16.9^{a}	77.0 ± 11.0^{a}	81.3 ± 9.3^{a}
Body mass index, kg/m ²	25.8 ± 3.6^a	$26.6\pm4.5^{\rm a}$	24.4 ± 7.3^{a}	27.1 ± 6.0^{a}
mMRC dyspnea score (2/3/4)	-	4/10/2	3/9/3	3/9/4
NYHA functional class (2/3)	-	11/5	11/4	12/4
Ejection Fraction (%)	65.9 ± 9.7^{a}	64.1 ± 10.3^{a}	31.3 ± 8.1^{b}	32.5 ± 6.9^{b}
Estimated pulmonary systolic arterial pressure (mmHg) *	-	37.8 ± 19.8^{a}	41.4 ± 20.1^{a}	40.6 ± 28.1^a
Smoking, never/ex/current	12/0/0	0/13/3	9/3/3	0/15/1
pack-yrs	0	51.3 ± 15.8^{a}	40.4 ± 16.0^{b}	55.1 ± 21.6^{a}
Main co-morbidities				
Hypertension	6 ^a	10 ^b	9 ^b	9 ^b
Type II diabetes	5 ^a	8 ^b	9 ^b	8 ^b
Hypercolesterolemia	3 ^a	12 ^b	13 ^b	12 ^b
Depression	3 ^a	6 ^b	5 ^b	7 ^b
Main medications				
Long-acting β_2 agonists	0 ^a	14 ^b	0 ^a	10 ^b
Long-acting anti-muscarinics	0 ^a	15 ^b	0 ^a	14 ^b
Inhaled steroids	0 ^a	12 ^b	0 ^b	11 ^b
Diuretics	0 ^a	2 ^a	15 ^b	16 ^b
Selective β -blockers	0 ^a	0 ^a	15 ^b	16 ^b
Angiotensin-conversor enzyme inhibitors	0 ^a	2 ^a	9 ^b	8 ^b

P < 0.05: Statistically-significant differences are indicated by different letters. Values are mean±SD or frequency (N). * N = 0, 9, 11, and 12 for controls, COPD, heart failure and overlap, respectively (participants with Dopppler-detectable tricuspide regurgitation jet).

Results

Groups were well matched for anthropometric and demographic variables (Table 1). Smoking history did not differ between COPD and overlap. As expected, ischemic heart disease was the commonest cause of heart failure in both groups (10/15 in heart failure and 12/16 in overlap) followed by nonischemic dilated cardiomyopathy and hypertensive cardiomyopathy. There were no differences in reported chronic dyspnoea, NYHA functional class and the main co-morbidities among patient groups (Table 1).

Resting functional variables

As intended by the study design, FEV_1 in COPD and ejection fraction in heart failure were matched to those found in overlap (Table 2). Among patient groups, COPD had the highest static lung volumes and PaCO₂ together with the lowest lung diffusing capacity, whereas overlap showed intermediate values. Higher PaO₂ values were found in heart failure compared to COPD and overlap (Table 2).

Peak exercise variables

Despite the lack of statistically significant differences in peak work rate among patient groups, peak O₂ uptake was lower in overlap and heart failure, which is consistent with a lower ΔO_2 uptake/ Δ work rate relationship in the latter groups (Table 2). As expected from β -blocker therapy (Table 1), significantly lower peak heart rate was found in heart failure and overlap. On the other hand, higher dyspnea scores and ventilation/MVV were found in COPD and overlap. Peak leg effort scores were greater in heart failure and overlap compared with COPD (Table 2).

Physiological and sensorial responses at 80% peak work rate

Overlap patients had the lowest exercise tolerance among patient groups (~30% lower compared to COPD and heart failure; Table 3). As expected from similar peak work rates among patient groups (Table 2), there were no significant differences in absolute work rates at 20% and 80% (values ranging from 10-32 W and 38-68 W, respectively). Controls, however, exercised at greater work rates and O2 uptake levels compared to all patient groups. Despite similar O2 uptake, respiratory exchange ratio and CO₂ output were greater in heart failure and overlap patients. Greater systemic oxygen desaturation and decrements in arterialized PO2 were found in COPD patients compared to the other groups (Table 3). COPD had the highest dyspnea scores while heart failure and overlap had the highest leg effort scores. Consequently, leg effort-dyspnea differences at exercise cessation were larger in overlap and heart failure than COPD (Table 3).

Central and peripheral hemodynamics

Overlap had lower cardiac output and muscle blood flow at 80% peak work rate compared to other groups. Heart failure had lower values than COPD and controls with the highest values being found in controls (p < 0.05) (Figures 1a and 1c). Overlap also showed the smallest variation (Δ) in cardiac output and muscle blood flow as a function of ΔO_2 uptake from 20%–80% peak work rate (p < 0.05). Heart failure showed intermediate values of Δ cardiac output/ ΔO_2 uptake and Δ muscle blood flow/ ΔO_2 uptake among patient groups whereas no differences were found between COPD and controls (p > 0.05) (Figures 1b and 1d). Δ Muscle blood flow was closely proportional to Δ cardiac output in all groups (Figures 1e and 1f).

Table 2. Resting lung function and	responses to incremental exercise
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	Controls (n $=$ 12)	COPD ($n = 16$)	Heart failure (n $=$ 15)	Overlap (n $=$ 16)
Lung Function				
FVC,%pred	103.8 ± 9.7^{a}	$78.3\pm10.0^{\rm b}$	82.4 ± 10.0^{b}	74.5 ± 11.2^{b}
FEV ₁ ,%pred	94.1 ± 8.2^{a}	54.1 ± 13.9^{b}	79.3 ± 11.2^{c}	$56.9 \pm 15.9^{\text{b}}$
FEV ₁ /FVC	$0.75\pm0.03^{\mathrm{a}}$	0.57 ± 0.09^{b}	0.82 ± 0.05 c	$0.62\pm0.08^{\text{b}}$
TLC,%pred	101.1 ± 10.8^{a}	120.1 ± 14.3^{b}	89.9 ± 10.1^{c}	108.1 ± 9.9^{a}
RV,%pred	96.1 ± 9.3^{a}	$181.3\pm30.9^{\rm b}$	90.5 ± 13.8^{a}	121.5 ± 15.7^{c}
DL _{co} ,%pred	111.1 ± 14.7^{a}	$50.5\pm10.9^{\mathrm{b}}$	$70.9\pm15.8^{\circ}$	$59.9\pm11.1^{ m d}$
PaO ₂ , mmHg	_	65.6 ± 3.9^a	72.0 ± 5.3^{b}	67.6 ± 5.1^{a}
$PaCO_2$, mmHg	_	42.5 ± 3.6^a	35.6 ± 3.1^{b}	$38.9\pm3.3^{\circ}$
Incremental Exercise Test				
Work rate,%pred	89.5 ± 6.2^{a}	54.8 ± 9.4^{b}	56.1 ± 8.7 ^b	$52.7\pm10.3^{\rm b}$
O ₂ uptake,%pred	92.1 ± 9.9^{a}	60.1 ± 10.3^{b}	50.3 ± 8.7^{c}	$46.3 \pm 7.3^{\circ}$
$\Delta 0_2 / \Delta$ work rate, mL/min/W	10.1 ± 1.2^{a}	9.7 ± 2.0^{b}	$7.8 \pm 1.8^{\circ}$	7.4 ± 1.7^{c}
Ventilation/MVV	0.52 ± 0.10^a	0.89 ± 0.11^{b}	0.64 ± 0.12^{c}	$0.86\pm0.09^{\text{b}}$
Heart rate, bpm	152 ± 15^{a}	138 ± 10^{b}	119 ± 12^{c}	120 ± 11^{c}
Heart rate,%pred	102.5 ± 5.9^{a}	87.3 ± 9.9^{b}	70.1 ± 7.8 ^c	70.4 ± 6.3^{c}
SpO ₂ ,%	95 ± 3^{a}	88 ± 5^{b}	94 ± 3^{a}	93 ± 4^{a}
Dyspnea score	3 (2–5) ^a	7.5 (5–10) ^b	5 (4–8) ^c	7 (5–10) ^d
Leg effort score	6 (4–10) ^a	5 (3–7) ^b	7.5 (5–10) ^c	7.5 (6–10) ^c
Dyspnea as limiting symptom	0/12 ^a	14/16 ^b	7/15 ^c	8/16 ^c
Leg effort as limiting symptom	12/12 ^a	8/16 ^b	13/15 ^a	14/16 ^a

P<0.05: Statistically-significant differences are indicated by different letters. Values are mean \pm SD or median (range).

FVC = forced expiratory volume; FEV₁ = forced expiratory volume in 1 s; TLC = total lung capacity; RV = residual volume; DL_{CO} = lung diffusing capacity; PaO₂ = arterial partial pressure for oxygen; PaCO₂ = arterial partial pressure for carbon dioxide; MVV = maximal voluntary ventilation; SpO₂ = oxygen saturation by pulse oximetry.

Blood lactate and muscle deoxygenation

Overlap had higher lactate and deoxygenation at 80% peak work rate compared to other groups. Heart failure had higher values than COPD and controls (p < 0.05). There were, however, no significant differences between COPD and controls (Figures 2a and 2c). Overlap also showed the greatest increase (Δ) in lactate and muscle deoxygenation as a function of ΔO_2 uptake from 20%–80% peak work rate. Heart failure had intermediate values of Δ lactate/ ΔO_2 uptake and Δ muscle deoxygenation/ ΔO_2 uptake among patient groups, while no differences were found between COPD and controls (p > 0.05) (Figures 2b and 2d). Δ Lactate was proportionally related to Δ muscle deoxygenation in all groups (Figures 2e and 2f). Of note, Δ muscle blood flow/ ΔO_2 uptake was inversely related to Δ lactate and Δ muscle deoxygenation only in heart failure and overlap (r = -0.64 and r = -0.73, respectively; p < 0.01).

Muscle blood flow and exercise impairment

As shown in Figure 3, Δ muscle blood flow/ Δ O₂ uptake was significantly related to time to exercise intolerance (tlim) in overlap and heart failure but not in COPD and controls.

Discussion

This is the first study to assess the role of impaired central and peripheral hemodynamics on exercise intolerance in patients with coexistent COPD-heart failure ("overlap"). Consistent with our hypotheses, overlap patients had lower endurance exercise tolerance compared to FEV₁-matched COPD and left ventricular ejection fraction-matched heart failure patients. Leg muscle blood flow, but not arterial oxygenation, was impaired to a greater extent in overlap being a powerful predictor of exercise intolerance in those patients. Reduced convective O₂ delivery

able 3. Physiological and sensoria	I responses to constant v	work rate exercise test at	80% peak.
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	Controls (n $=$ 12)	COPD (n = 16)	Heart failure (n $=$ 15)	Overlap (n $=$ 16)
Tlim, s	1040 (743–1200) ^a	332 (215–414) ^b	315 (197–401) ^b	202 (98–301) ^c
O₂ uptake, mL/min	1528 ± 289^{a}	1180 ± 195^{b}	1131 ± 178^{b}	$\rm 1095 \pm 215^{b}$
$\dot{CO_{2}}$ output, mL/min	1747 ± 215^{a}	1215 ± 208^{b}	1334 ± 221^{c}	1400 ± 249^{c}
Respiratory exchange ratio	1.14 ± 0.09^{a}	1.03 ± 0.07^{b}	$1.18 \pm 0.10^{\circ}$	1.20 ± 0.11^{c}
Heart rate, bpm	146 ± 12^{a}	132 ± 11^{b}	112 ± 10^{c}	110 ± 13^{c}
Heart rate,%pred	97.3 ± 6.1^{a}	$81.5\pm10.1^{ m b}$	$68.9\pm8.8^{\circ}$	$66.9\pm8.4^{\circ}$
Ventilation/MVV	0.45 ± 0.12^{a}	$0.88\pm0.10^{\rm b}$	0.59 ± 0.14^{c}	$0.85\pm0.10^{\text{b}}$
Ventilation /CO ₂ output ratio	30 ± 4^{a}	31 ± 4^{a}	40 ± 5^{b}	41 ± 4^{b}
End-tidal CO ₂ , mmHg	30 ± 3^{a}	40 ± 5 ^b	34 ± 4^{c}	33 ± 5^{c}
End-exercise – rest PartO ₂ , mmHg	4.3 ± 2.1^{a}	$-10.9 \pm 7.9^{ m b}$	1.5 ± 4.7^{c}	$-2.3\pm3.9^{\circ}$
End-exercise – rest SpO ₂ ,%	3 ± 2^a	-6 ± 2^{b}	2 ± 3^a	3 ± 3^{a}
End-exercise – rest PartCO ₂ , mmHg	-6.1 ± 3.5^{a}	6.4 ± 4.3^{b}	$-4.9\pm3.0^{\circ}$	-5.3 ± 4.4^{c}
Dyspnea score	2 (1–4) ^a	7 (5–10) ^b	4.5 (3–7) ^c	5.5 (4–8) ^c
Leg effort score	6 (4–8) ^a	4 (2–6) ^b	7 (5–9) ^c	8 (5–10) ^c
Leg effort-dyspnea	4 (1–6) ^a	−3 (−6−1) ^b	2.5 (—1–5) ^c	3.5 (1–6) ^c

P < 0.05: Statistically significant differences are indicated by different letters. Values are mean \pm SD or median (range).

Tlim, time to fatigue; MVV = maximal voluntary ventilation; art = arterialized; $SpO_2 =$ oxygen saturation by pulse oximetry.



Figure 1. Central (*panels a and b*) and peripheral (*panels c and d*) hemodynamic responses as a function of exercise intensity and their relationship (*panels e and f*) in healthy controls and patients with chronic obstructive pulmonary disease (COPD), chronic heart failure (CHF) and COPD-CHF (overlap). " Δ " is the difference between 20% and 80% peak work rate.

in overlap was accompanied by relatively greater fractional O_2 extraction (i.e., increased muscle deoxygenation by NIRS)(9,19) and blood lactate concentration. Moreover, there was a shift on the locus of symptom limitation from dyspnoea to leg discomfort when COPD was accompanied by heart failure. In this context, therapies aimed at increasing muscle O_2 delivery and/or decreasing O_2 needs are expected to enhance exercise tolerance in this fast-growing patient population.

In the present study, overlap patients were matched by postbronchodilator FEV_1 to those with COPD. Although constituting the criterion used to classify severity in isolated COPD, FEV_1 may not reflect faithfully respiratory impairments in overlap due to the compound effects of obstruction and restriction (25). Patients were thus also matched by chronic dyspnoea and functional limitation (Table 1) and their pharmacological treatments were standardized by the same physicians (Table 1). Inclusion of a heart failure group was instrumental to examine whether abnormalities in cardiac output and exercising muscle blood flow in overlap patients would be greater than those expected by heart failure alone. Moreover, an age-matched healthy group allowed us to control for potential abnormalities in central and peripheral hemodynamics brought on by senescence and/or detraining secondary to a sedentary lifestyle.

A key finding of the present study was the greater muscle blood flow impairment during exercise in overlap compared to



Figure 2. Blood lactate concentration and muscle deoxygenation (HHb) as a function of exercise intensity in healthy controls and patients with chronic obstructive pulmonary disease (COPD), chronic heart failure (CHF) and COPD-CHF (overlap). " Δ " is the difference between 20% and 80% peak work rate.

not only COPD but also heart failure patients (Figure 1c and 1d). Importantly, heart failure per se could not explain these findings given the similar ejection fraction and cardiac pharmacological treatment in overlap (Table 1). Putative mediators of this phenomenon include the effects of negative cardiopulmonary interactions on cardiac output (2). In overlap patients, it is conceivable that relatively higher mean intra-thoracic pressures and larger pleural pressure swings induced by COPD further decrease right ventricular preload and left ventricular filling pressures (2,14,16,26). Larger increases in pulmonary arterial pressures may not only increase right ventricular afterload

but also leftward-shift the interventricular septum, thereby lowering left ventricular volume (15,17,26).

Due to the lack of inspiratory capacity maneuvers (to track operating lung volumes) and oesophageal pressure measurements we were unable to properly test the hypothesis that impaired cardiac performance was related to increased intrathoracic pressure swings. Another possibility involves redistribution of cardiac output from the exercising limb muscles to the overloaded respiratory muscles (17,18,27). As shown in Figure 1e and 1f, however, the slope of the muscle blood flow-cardiac output relationship was remarkably similar across



Figure 3. Relationship between time to exercise intolerance (Tlim) and changes in muscle blood flow and oxygen uptake (Δ muscle BFI/ $\Delta \dot{V}O_2$) in healthy controls and patients with chronic obstructive pulmonary disease (COPD), chronic heart failure (CHF) and COPD-CHF (overlap). " Δ " is the difference between 20% and 80% peak work rate.

patient and control groups. Blunted chronotropic response secondary to β -blocker therapy may have also contributed to decrease exercise cardiac output in heart failure and overlap patients. Moreover, β -blockers (at least acutely) are known to change the glucose metabolism with a consequent increase in lactate levels (28).

It should be noted, however, that lower cardiac output (Figure 1a) and greater lactate levels (Figure 2a) were found in overlap compared to heart failure despite similar β -blocker doses. These findings are consistent to the notion that COPD further deteriorated the hemodynamic responses to exertion in patients with heart failure. Collectively, our results suggest that, under the current circumstances, blunted muscle blood flow in overlap was likely related to deficits in cardiac output adjustments to exertion rather than blood flow redistribution. Direct hemodynamic measurements are warranted to confirm these non-invasive findings. Due to the lack of inspiratory capacity maneuvers (to track operating lung volumes) and oesophageal pressure measurements we could not provide mechanistic evidence that impaired cardiac performance was related to increased intra-thoracic pressure swings.

As illustrated in Figure 2, relatively greater muscle fractional O_2 extraction (i.e., elevated muscle deoxygenation) and lactate concentration are consistent with blunted blood flow responses (Figures 1c and 1d) during exercise in overlap. Impaired muscle O_2 delivery leads to greater reliance on O_2 -independent metabolism and accumulation of by-products (e.g., inorganic phosphate and H⁺) that contribute to muscle fatigue (29) and enhanced ventilatory drive (30). In fact, the locus of symptom limitation shifted from the respiratory system to the working peripheral muscles in overlap compared to COPD (Table 3). Moreover, the overlap group had higher ventilation-CO₂ output ratios despite less hypoxemia (and, conceivably, less hypoxic drive) than COPD (Table 3). Altogether, these data support the notion that impaired peripheral muscle O_2 delivery assumes a

more prominent role in limiting exercise tolerance when heart failure adds to COPD (6,31-34).

A rather interesting finding was the relative preservation of systemic arterial oxygenation in overlap compared to COPD (Table 3) in the face of greater exercising muscle fractional O₂ extraction (Figures 2c and 2d) and, conceivably, lower venous O_2 content. Mechanisms related to this finding may include a better preserved diffusing capacity (Table 2) and/or greater ventilatory response to exercise (Table 3). In fact, a hyperventilatory response to early metabolic acidosis has been shown to be protective against exercise-induced O_2 desaturation in COPD (35). Interpretation of a high ventilatory equivalent for CO₂ being translated into alveolar hyperventilation (and not only "wasted" in the physiological dead space) is strengthened by the finding of lower arterialized PCO₂ in overlap and heart failure compared to COPD (Table 3). Although the exact mechanism(s) operating in overlap await elucidation, this behavior likely minimized further decrements in muscle O₂ delivery in these patients.

Clinical implications

There is growing recognition that patients with chronic cardiopulmonary diseases have similar mechanisms of exercise intolerance vis-à-vis impaired O_2 delivery (9,32,33,37). Our data suggest that overlap patients are particularly prone to respond to interventions that increase skeletal muscle O_2 delivery and/or reduce O_2 demand. Potential therapeutic options include: (a) improving cardiac output by addressing negative cardiopulmonary interactions (e.g., via non-invasive positive pressure ventilation and/or heliox) (10,31), (b) adopting physical training strategies suitable for patients with severe central cardiorespiratory constraints (e.g., small muscle mass training) (36,37), and (c) enhancing directly O_2 delivery-to-utilization matching via increased muscle blood flow and/or lowered O_2 cost of muscle contraction (e.g., sildenafil, nitrate supplementation) (38,39).

Experimental considerations and study limitations

A methodological aspect of the present study that merits specific consideration is the expression of changes in key cardiocirculatory variables (cardiac output, muscle blood flow) relative to simultaneous changes in O_2 demands, i.e., ΔO_2 uptake. This was particularly important to allow inter-subject comparisons considering the semi-quantitative nature of NIRS-derived BFI (24) and the potential uncertainties about the accuracy of absolute cardiac output values by impedance cardiography (40). Thus, exercise-induced variations in O_2 demands (elicited by different absolute work rates) were appropriately taken into consideration when we analyzed changes in cardiocirculatory responses. i.e., those subserving muscle O_2 delivery. Due to the non-invasive nature of our study, however, we refrained to overinterpret these ratios (e.g., Δ cardiac output / ΔO_2 uptake) as indirect indexes of O_2 extraction.

Responses to constant work rate rather than incremental exercise were the focus of the current study given that endurance exercise tolerance is thought to be more relevant to patients' daily functioning than maximal exercise performance. In fact, patients with chronic cardiopulmonary disease are rarely exposed to bursts of progressive exertion to the maximum in daily life. On the other hand, the ability to sustain exercise for a "reasonable" time frame is critical to task completion. Reduced endurance exercise tolerance at 80% peak in overlap (Table 3) despite the lack of differences in peak work rate (Table 2) suggest important group differences in the sub-maximal power-time relationship, an interesting finding that might hold implication for targeting a tolerable work rate (e.g., exercise training).

As a minimally invasive study, the present investigation has other inherent limitations. Impedance cardiography might underestimate cardiac output in hyperinflated patients (40). However, overlap patients were less hyperinflated than COPD patients suggesting that, if anything, we might have underestimated differences in cardiac output between these groups. Although NIRS-based estimations of blood flow with intravenous ICG injections (23,24) have been validated in clinical populations (31), this method does not allow continuous measurements over time. We therefore chose to evaluate changes (Δ) in blood flow to alterations in metabolic demand. The close relationship between Δ muscle blood flow and Δ cardiac output in healthy subjects (Figure 1) further increased confidence on our methodological approach. We acknowledge that COPD patients were typically hyperinflated and some developed hypoxemia. Thus, our results should not be extrapolated to less severe, nonhypoxemic patients. Nevertheless, it is conceivable that the dominance of cardiocirculatory over respiratory factors in limiting exercise tolerance in overlap would be particularly true for less severe COPD patients.

Summary and conclusions

This is the first study to demonstrate that overlap patients have lower endurance exercise tolerance compared to their COPD and heart failure counterparts matched by FEV_1 and left ventricular ejection fraction, respectively. Our findings indicate that COPD and heart failure add to decrease exercising cardiac output and skeletal muscle perfusion to a greater extent than that expected by heart failure alone. Given that these abnormalities are related closely to poor exercise tolerance, increasing skeletal muscle O₂ delivery and/or decreasing O₂ demand might be of particular therapeutic relevance in this patient population.

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Declaration of interest statement

The authors declare that they have no conflicting interests. The authors alone are responsible for the content and writing of the article.

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